12-26-01   A

Attorney Docket Number	ST▮▮66DIV
First Named Inventor	Roger Briesewitz et al.
Title	Bifunctional Molecules and Therapies Based Thereon
Express Mail Label No.	EL900300092US

Utility
Patent Application
Transmittal
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
   (Submit an original and a duplicate for fee processing)

2. ☑ Applicant claims small entity status.
   See 37 CFR 1.27.

3. ☑ Specification   Total Pages [63]
   (preferred arrangement set forth below)

   - Descriptive title of the invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R&D
   - Reference to sequence listing, a table, or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (if filed)
   - Detailed Description
   - Claims(s)
   - Abstract of the Disclosure

4. ☑ Drawing(s) (35 U.S.C. 113)   Total Sheets [9]

5. ☐ Oath or Declaration   Total Pages [20]

   a. ☐ Newly executed (original or copy)

   b. ☑ Copy from a prior application (37 CFR 1.63(d))
      i. ☐ **DELETION OF INVENTOR(S)**
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☑ Application Data Sheet, See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)

   a. ☐ Computer Readable Form (CRF)

   b. Specification Sequence Listing on:
      i. ☐ CD-Rom or CD-R (2 copies); or
      ii. ☐ Paper

   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))

10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*   ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449   ☐ Copies of IDS Citations

13. ☑ Preliminary Amendment

14. ☑ Return Receipt Postcard (MPEP 503) *(should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

17. ☐ Other: -----------------------

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation   ☑ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: 09/316,932, filed 5/21/99

Prior Application Information: Examiner D. Naff   Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation					
CLAIMS AS FILED					
For	#Filed	# Allowed	#Extra	Rate	Fee
Total Claims	29	20	9	x 9	$81.00
Indep. Claims	6	3	3	x 42	$126.00
Multiple Dependent Claims (check if applicable) ☐					
				TOTAL CLAIM FEES	$207.00
				BASIC FILING FEE	$370.00
OTHER FEE (specify purpose)	Two Assignment Recordations				$80.00
				TOTAL	$657.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

Request that Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the

subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral

of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application

being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

19. CORRESPONDENCE ADDRESS

☑ *Customer Number or Bar Code Label*	24353 PATENT & TRADEMARK OFFICE	or ☐ *Correspondence Address below*

Name (Print/Type)	Bret E. Field	Registration No.	37,620	
Signature		Date	12·21·01	
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial	650-833-7770	Fax	650-327-3231	